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|--|--|---|--|-----------------------------|---------------|
| ADEMIN. | А | pplication Number | 10/814,700 |) | |
| | F | ling Date | March 30, | 2004 | · |
| TRANSMITTAL FORM | Fi | rst Named Inventor | Peter E. H | art | |
| (to be used for all correspondence during pender filed application) | ncy of G | roup Art Unit Number | 2625 | | |
| | E | xaminer Name | James A. | Thompso | on |
| Total Number of Pages in This Submission 9* | А | ttorney Docket Number | 20412-084 | 55 | |
| ENCLOSU | JRES (| check all that apply | <i>(</i>) | | |
| Fee Transmittal Form (in duplicate) Check Enclosed Return Receipt Postcard Response to Notice to File Missing Parts Assignment & Recordation Cover Sheet Declaration Power of Attorney Application Data Sheet Supplemental Information Disclosure Statem PTO/SB/08A Copies of IDS Cited Non-Patent R Request for Corrected Filing Receipt Request for Correction of Recorded Assignm Amendment/Response: [] Page(s) After Final Status Request Revocation and Substitute Power of Attorne | nent & eferences nent | Issue Fee Transi Letter to Chief Dr Formal Drawing(s | mittal raftsperson s): f) of Figure(s) [ication to Boar ication to Grou Brief, Reply Bri Priority Docum | d of Appeals p ief) nent(s) | s and |
| REMARKS: *Page count does not include cited re | eferences. | | | | |
| SIGNATUR | E OF AT | TORNEY OR AGEN | NT | | |
| Signature: | | L_ | •• | | |
| Attorney/Reg. No.: Robert A. Hulse, Reg. No. | 48,473 | | Dated: | Nov. 3, | 2016 |
| CER | TIFICAT | E OF MAILING | | | |
| I hereby certify that this correspondence, including the enfirst class mail in an envelope addressed to: Commission If the Express Mail Mailing Number is filled in below, then "Express Mail Post Office to Addressee" service pursuant | closures ider er for Patents this correspo | ntified above, is being deposit s, P.O. Box 1450, Alexandria andence is being deposited w | , VA 22313-1450 | on the date s | hown below. |
| Typed or Printed Name: Robert A. Hulse | ·M | <u> </u> | Dated: | Nar.3 | 222 |
| TODELLA HUISE | | | Dateu. | 11404 5. | ₩ 00.⊅ |



FEE TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 180

| Complete if Known | | | | | | | |
|----------------------|-------------------|--|--|--|--|--|--|
| Application Number | 10/814,700 | | | | | | |
| Filing Date | March 30, 2004 | | | | | | |
| First Named Inventor | Peter E. Hart | | | | | | |
| Examiner Name | James A. Thompson | | | | | | |
| Art Unit | 2625 | | | | | | |
| Attomey Docket No. | 20412-08455 | | | | | | |

| METHOD OF PAYME | NT (check all that apply) | | | | FI | EE CALCULATION (continued) | |
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| Check Credit Card Deposit Account: | Money Order Other None | 3. AD | DITION | AL FEE | s | | |
| Deposit Account Number | 19-2555 | Large (| Entity | Small I | Entity | Fee Description | Fee Paid |
| Deposit Account Name | Fenwick & West LLP | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | |
| The Commissioner is autho | rized to: (check all that apply) | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath or declaration | |
| | pelow 🔀 Credit any overpayments | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| Charge all required fee(s |) or any underpayment of fee(s) due | 1053 | 130 | 1053 | 130 | Non-English specification | |
| under 37 CFR §1.16 or § application | 1.17 during the pendency of this | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| ☐ Charge fee(s) indicated b | pelow, except for the filing fee to | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| the above-identified depo | osit account. | 1805 | 1,840* | 1805 | 1,840* | Requesting publication of SIR after Examiner action | |
| FEE CA | LCULATION | 1251 | 120 | 2251 | 60 . | Extension for reply within first month | |
| 1. BASIC FILING FEE | | 1252 | 450 | 2252 | 225 | Extension for reply within second month | |
| Large Entity Small Entity | | 1253 | 1020 | 2253 | 510 | Extension for reply within third month | |
| Fee Fee Fee | Fee Description Fee Paid | 1254 | 1,590 | 2254 | 795 | Extension for reply within fourth month | |
| Code (\$) Code (\$) | [] | 1255 | 2,160 | 2255 | 1,080 | Extension for reply within fifth month | |
| | <u> </u> | 1401 | 500 | 2401 | 250 | Notice of Appeal | |
| | | 1402 | 500 | 2402 | 250 | Filing a brief in support of an appeal | |
| | | 1403 | 1000 | 2403 | 500 | Request for oral hearing | |
| | ļ <u>-</u> | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| | AL (1) (\$) 0 | 1452 | 500 | 2452 | 250 | Petition to revive - unavoidable | |
| SUBTOT | | 1453 | 1,500 | 2453 | 750 | Petition to revive - unintentional | |
| | FOR UTILITY AND REISSUE | 1501 | 1,400 | 2501 | 700 | Utility issue fee (or reissue) | |
| | a Claims below Fee Paid | 1502 | 800 | 2502 | 400 | Design issue fee | |
| Total Claims -20**= | X = | 1503 | 1100 | 2503 | 550 | Plant issue fee | |
| Independent Claims -3** = | X = | 1460 | _ | 1460 | | Petitions to the Director | |
| Multiple Dependent | = | 1807 | 50 | 1807 | 50 | Processing fee for Provisional Applications | |
| Large Entity Small Entity | | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | 180 |
| Fee Fee Code (\$) | Fee Description | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1202 50 2202 25 | Claims in excess of 20 | 1809 | 790 | 2809 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1201 200 2201 100 | Independent claims in excess of 3 | 1810 | 790 | 2810 | 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1203 360 2203 180 | Multiple dependent claim, if not paid | 1801 | 790 | 2801 | 395 | Request for Continued Examination (RCE) | |
| 1204 200 2204 100 | **Reissue independent claims over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| 1205 50 2205 25 | **Reissue claims in excess of 20 and over original patent | Other f | fee (specif | y) | | | |
| SUBTOT | AL (2) (\$) 0 | | | | | SUBTOTAL (3) (\$) 180 | |
| or number previously paid, if g | reater, For Reissues, see above | •Reduc | ed by Basi | c Filing F | ee Paid | 000101AE (0) [(4) 100 | |
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| SUBMITTED BY | | | | | Complete (if applicable) |
|-------------------|-----------------|--------------------------------------|--------|------|--------------------------|
| Name (Print/Type) | Robert A. Hulse | Registration No. (Attorney/Agent) | 48,473 | | Telephone (415) 875-2444 |
| Signature | Routed. Wh | | | Date | Nov. 3, 2006 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 0 6 1006 ARPLICANTS:

Peter E. Hart et al.

PLICATION NO.:

10/814,700

FILING DATE:

March 30, 2004

TITLE:

Printer User Interface

EXAMINER:

James A. Thompson

GROUP ART UNIT:

2625

ATTY. DKT. NO.:

20412-08455

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:

Nav. 3, 2006

Βv

Robert A. Hulse, Reg. No.: 48,473

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. A concise explanation in accordance with 37 CFR §1.98(a)(3) of the relevant portions of the cited reference that are not in the English language is believed to be set forth in the English abstract accompanying the reference.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

| within three months of the filing date of the application, or date of entry |
|---|
| into the national stage of an international application, or before the mailing |
| date of a first office action on the merits, whichever event last occurred; |
| before the mailing of a first official action after the filing of a request for |
| continued examination (RCE) under 37 CFR § 1.114: |

11/07/2006 RFEKADU1 00000079 10814700

| \boxtimes | after th | ree moi | nths of the filing date of this national application or the date |
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| | of entr | y of the | national stage in an international application, or after the |
| | mailing | g date o | f the first official action on the merits, whichever event last |
| | occurre | ed, but b | pefore the mailing date of the first to occur of either: (1) a |
| | final ac | ction un | der 37 CFR §1.113; or (2) an action that otherwise closes |
| | prosect | ution in | the application, and: |
| | \boxtimes | attach | ed hereto is the fee set forth under 37 CFR §1.17(p) for |
| | | submi | ission of this Information Disclosure Statement under 37 |
| | | CFR. | § 1.97(c); OR |
| | | Appli | cant certifies pursuant to 37 CFR § 1.97(e) that: |
| | | | each item of information contained in this Information |
| | | | Disclosure Statement was first cited in a communication |
| | | | from a foreign patent office in a counterpart foreign |
| | | | application not more than three months prior to the filing of |
| | | | this Statement; |
| | | | no item of information contained in this Information |
| | | | Disclosure Statement was cited in a communication from a |
| | | | foreign patent office in a counterpart foreign application |
| | | | and, to the knowledge of the person signing this |
| | | | certification after making reasonable inquiry, no item of |
| | | | information contained in this Statement was known to any |
| | | | individual designated under 37 CFR § 1.56(c) more than |
| | | | three months prior to the filing of this Statement; |
| | on or | before t | he payment of the issue fee but after the mailing date of the |
| | | | of either: (1) a final action under 37 CFR § 1.113; (2) a |
| | | | wance under 37 CFR § 1.311; or (3) an action that otherwise |
| | closes | | ution in the application, and: |
| | | Applio | cant certifies pursuant to 37 CFR. § 1.97(e) that: |
| | | | each item of information contained in this Information |
| | | | Disclosure Statement was cited in a communication from a |
| | | | foreign patent office in a counterpart foreign application not |
| | | | more than three months prior to the filing of this Statement; |
| | | | no item of information contained in this Information |
| | | | Disclosure Statement was cited in a communication from a |
| | | | foreign patent office in a counterpart foreign application |
| | | | and, to the knowledge of the person signing this |

| | | | | certific | cation after | making reasor | nable inquiry, n | o item of |
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| | | | | inform | ation conta | ined in this St | atement was kn | own to any |
| | | | | individ | lual designa | ated under 37 | CFR § 1.56(c) r | nore than |
| | | | | three n | nonths prior | r to the filing o | of this Statemer | nt; AND |
| | | | | attached herete | o is the fee | set forth unde | r 37 CFR §1.17 | (p) for |
| | | | | submission of | this Inform | ation Disclos | ure Statement u | nder 37 |
| | | | | CFR.§ 1.97(d) | ; OR | | | |
| | | | after th | e payment of the | he issue fee | . Applicant re | equests that the | information |
| | | | contair | ned in this Info | rmation Dis | sclosure Stater | ment be placed i | in the file |
| | | | accord | ing to 37 CFR | § 1.97(i), al | though the int | formation may i | not be |
| | | | consid | ered by the US | PTO. | | | |
| | | Enclos | sed is a | copy of each lis | sted referen | ce that may be | e material to the | ; |
| | | examin | nation o | f this application | on, and for | which there m | ay be a duty to | disclose. |
| | | This ap | pplication | on relies, under | 35 U.S.C. | § 120, on the | earlier filing da | te of prior |
| | | applica | ation No | o, filed | d on | _, and the refe | erences cited the | erein are |
| | | hereby | referen | ced, but are no | t required to | o be provided | in this applicati | ion under 37 |
| | | CFR § | 1.98(d) |) . | | | | |
| | \boxtimes | Copies | of any | foreign patent | documents | and non-pater | nt literature cited | d herein are |
| | | enclose | ed. | | | | | |
| | | Each it | tem of i | nformation con | tained in th | is Information | n Disclosure Sta | atement was |
| | | cited in | n a com | munication from | m a foreign | patent office | in a counterpart | t application, |
| | | and the | e comm | unication was i | not received | l by any indivi | idual designated | in 37 CFR |
| | | § 1.560 | (c) more | e than thirty day | ys prior to t | he filing of thi | is Information I | Disclosure |
| | | Statem | ent. 37 | CFR § 1.704(| d). | | | |
| | | Applic | ant sub | mits that no fee | e is required | I for the consid | deration of this | Information |
| | | Disclo | sure Sta | itement. | | | | |
| | Consid | leration | of the l | isted references | | | e solicited. | |
| | | | | | _ | lly submitted, AN J. HULL I | ΕΤ ΔΙ | |
| | | | | | 301171117 | | / | |
| Dated: | No | s. 3 _, | 200 | <u> </u> | By: | Walt. | A. IV | |
| | | | | | | Hulse, Reg. N | Io.: 48,473 | |
| | | | • | | | & West LLP alley Center | | |
| | | | | | | ornia Street | | |
| | | | | | | View, CA 940 | 041 | |
| | | | | | • | 6) 875-2444 3 038 5300 | | |
| | | | | | rax.: (630 |) 938-5200 | | |

Substitute for form 1449A/PTO Complete if Known Application No. 10/814,700 INFORMATION DISCLOSURE **Filing Date** March 30, 2004 STATEMENT BY APPLICANT First Named Inventor Peter E. Hart Art Unit NOV 0 6 2006 2625 **Examiner Name** James A. Thompson Sheet 3 Attorney Docket Number 20412-08455

| | U.S. PATENT DOCUMENTS | | | | | | | | |
|-----------------------|-----------------------|--|--------------------|--|--|--|--|--|--|
| | | Document No. | | | | | | | |
| Examiner Initials* | Cite No.1 | Number – Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | | | | | |
| | A1 | US-7,031,965 | 04-18-2006 | Moriya et al. | | | | | |
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| - | Examiner | į | Date | |
|---|-----------|---|------------|--|
| 1 | Signature | | Considered | |
| Į | | | | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

| | Substitute for t | orm 1449 | A/PTO | | Complete if Known | |
|---|------------------|-----------------|----------------|------------------------|-------------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | Application No. | 10/814,700 | | | |
| | | Filing Date | March 30, 2004 | | | |
| SIAI | CINICIAII | o i Ai | PPLICANT | First Named Inventor | Peter E. Hart | |
| | | | | Art Unit | 2625 | |
| | | | | Examiner Name | James A. Thompson | |
| Sheet | 2 | of | 3 | Attorney Docket Number | 20412-08455 | |

| U.S. PATENT DOCUMENTS | | | | | | | | | |
|-----------------------|--------------|--|--------------------|--|--|--|--|--|--|
| | | Document No. | | | | | | | |
| Examiner Initials* | Cite No.1 | Number – Kind Code ² (if known) | Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | | | | | |
| | A28 | US-5,444,476 | 08-22-1995 | Conway et al. | | | | | |
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| | | Foreign Patent Document | | | . | | | | |
| Examiner Initials* | Cite No.1 | Country Code ³ – Number ⁴ Kind Code ⁵ (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | T _e | | | | |
| | B1 | CN 1097394C | 12-25-2002 | IBM Corp. | | | | | |
| | B2 | EP 1133170 A2 | 09-12-2001 | Sony Corporation | | | | | |

| | | OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS | |
|-----------------------|--------------------------|--|--|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazin journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | |
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| | C2 | European Search Report, EP 04255836, September 12, 2006, 4 pages. | |
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| Examiner | Date | |
|-----------|------------|--|
| Signature | Considered | |
| | | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

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¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

| Substitute for form 1449A/PTO | | | | Complete if Known | | |
|-------------------------------|--------|-------|---------|------------------------|-------------------|---|
| INEO | DMATIO | u Die | CLOSURE | Application No. | 10/814,700 | |
| | | | | Filing Date | March 30, 2004 | |
| STATEMENT BY APPLICANT | | | | First Named Inventor | Peter E. Hart | |
| | | | | Art Unit | 2625 | |
| | | | | Examiner Name | James A. Thompson | |
| Sheet | 3 | of | 3 | Attorney Docket Number | 20412-08455 | 7 |

| OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS | | | | | |
|--|--------------|--|--|--|--|
| Examiner Initials* | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | | |
| | <u> </u> | Multimedia '95 Electronic Proceedings, San Francisco, CA, November 5-9, 1995, pp. 1-12. | | | |
| | C11 | SHAHRARAY, B. et al., "Pictorial Transcripts: Multimedia Processing Applied to Digital Library Creation," IEEE, 1997, pp. 581-586. | | | |

| Examiner Signature | Date Considered | |
|-----------------------|--------------------|--|
| | | |

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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